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Approved for use through 10/21/2002. OMB 0651-0032

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UTILITY PATENT APPLICATION TRANSMITTAL (Only for new nonprovisional applications under 37 CFR 1.53(b))		Attorney Docket No. 13587US01	
		First Inventor David Wu	
		Title	METHOD AND APPARATUS OF PERFORMING SAMPLE RATE CONVERSION OF A MULTI-CHANNEL AUDIO SIGNAL
		Express Mail Label No. EL649151577US	
APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents.		ADDRESS TO: Commissioner for Patents Box Patent Application Washington, DC 20231	
1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing)		7. <input type="checkbox"/> CD-Rom or CD-R in duplicate, large table or Computer Program (Appendix)	
2. <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.		8. Nucleotide and/or Amino Acid sequence Submission (if applicable, all necessary)	
3. <input checked="" type="checkbox"/> Specification [Total Pages 25] (preferred arrangement set forth below) -Descriptive title of the invention -Cross Reference to Related Applications -Statement Regarding Fed sponsored R&D -Reference to sequence listing, a table, or a computer program listing appendix -Background of the Invention -Brief Description of the Drawings (if filed) -Detailed Description -Claim(s) -Abstract of the Disclosure		a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identity of above copies	
4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 6]		ACCOMPANYING APPLICATION PARTS	
5. Oath or Declaration [Total Pages 5] a. <input checked="" type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 18 completed) i. <input type="checkbox"/> DELETION OF INVENTORS Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).		9. <input type="checkbox"/> Assignment Papers (cover sheet & documents(s)) 10. <input type="checkbox"/> 37 CFR 3.73(b) Statement [Power of Attorney] (when there is an assignee) 11. <input type="checkbox"/> English Translation Document (if applicable) 12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 [Copies of IDS Citations] 13. <input type="checkbox"/> Preliminary Amendment 14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized) 15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed) 16. <input type="checkbox"/> Request and Certification under 35 U.S.C. 122(b) (2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent. 17. <input type="checkbox"/> Other: []	
6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76			
18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: <input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No.: Prior application information: Examiner: Group/Art Unit: For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.			
18. CORRESPONDENCE ADDRESS			
<input checked="" type="checkbox"/> Customer Number or Bar Code Label		23446 (Insert Customer No. or Attach bar code label here)	
<input type="checkbox"/> Correspondence address below			
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500 W. Madison St.,			
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Country United States	Telephone 312-775-8000	Fax 312-775-8100	
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Signature [Signature]		Date 02/26/02	

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FEE TRANSMITTAL for FY 2002 Patent Fees are subject to annual revision.		Complete if Known	
		Application Number	unassigned
		Filing Date	February 26, 2002
		First Named Inventor	David Wu
		Examiner Name	unassigned
		Group Art Unit	unassigned
TOTAL AMOUNT OF PAYMENT		Attorney Docket No.	13587US01

METHOD OF PAYMENT	FEE CALCULATION (continued)																																																																																																																																																																																																																																																				
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to: Deposit Account Number: 13-0017 Deposit Account Name: McAndrews, Held & Malloy <input type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27	3. 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101	740	201	370	Utility filing Fee	740.00																																																																																																																																																																																																																																																
106	330	206	165	Design filing Fee																																																																																																																																																																																																																																																	
107	510	207	255	Plant filing fee																																																																																																																																																																																																																																																	
108	740	208	370	Reissue filing fee																																																																																																																																																																																																																																																	
114	160	214	80	Provisional filing fee																																																																																																																																																																																																																																																	
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103	18	203	9	Claims in excess of 20																																																																																																																																																																																																																																																	
102	84	202	42	Independent claims in excess of 3																																																																																																																																																																																																																																																	
104	280	204	140	Multiple dependent claim, if not paid																																																																																																																																																																																																																																																	
109	84	209	42	**Reissue independent claims over original patent																																																																																																																																																																																																																																																	
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105	130	205	65	Surcharge - late filing fee or oath																																																																																																																																																																																																																																																	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet																																																																																																																																																																																																																																																	
139	130	139	130	Non-English specification																																																																																																																																																																																																																																																	
147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination																																																																																																																																																																																																																																																	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action																																																																																																																																																																																																																																																	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action																																																																																																																																																																																																																																																	
115	110	215	55	Extension for reply within first month																																																																																																																																																																																																																																																	
116	400	216	200	Extension for reply within second month																																																																																																																																																																																																																																																	
117	920	217	460	Extension for reply within third month																																																																																																																																																																																																																																																	
118	1,440	218	720	Extension for reply within fourth month																																																																																																																																																																																																																																																	
128	1,960	228	980	Extension for reply within fifth month																																																																																																																																																																																																																																																	
119	320	219	160	Notice of Appeal																																																																																																																																																																																																																																																	
120	320	220	160	Filing a brief in support of an appeal																																																																																																																																																																																																																																																	
121	280	221	140	Request for oral hearing																																																																																																																																																																																																																																																	
138	1,510	138	1510	Petition to institute a public use proceeding																																																																																																																																																																																																																																																	
140	110	240	55	Petition to revive - unavoidable																																																																																																																																																																																																																																																	
141	1,280	241	640	Petition to revive - unintentional																																																																																																																																																																																																																																																	
142	1,280	242	640	Utility issue fee (or reissue)																																																																																																																																																																																																																																																	
143	460	243	230	Design issue fee																																																																																																																																																																																																																																																	
144	620	244	310	Plant issue fee																																																																																																																																																																																																																																																	
122	130	122	130	Petitions to the Commissioner																																																																																																																																																																																																																																																	
123	50	123	50	Processing fee under 37 CFR 1.17(q)																																																																																																																																																																																																																																																	
126	180	126	180	Submission of Information Disclosure Stmt																																																																																																																																																																																																																																																	
581	40	581	40	Recording each patent assignment per property (times number of properties)																																																																																																																																																																																																																																																	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))																																																																																																																																																																																																																																																	
149	740	249	370	For each additional invention to be examined (37 CFR 1.129(b))																																																																																																																																																																																																																																																	
179	740	279	370	Request for Continued Examination (RCE)																																																																																																																																																																																																																																																	
169	900	169	900	Request for expedited examination of a design application																																																																																																																																																																																																																																																	
Other fee (specify) _____																																																																																																																																																																																																																																																					
**or number previously paid, if greater; For Reissues, see above	*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$)																																																																																																																																																																																																																																																				

SUBMITTED BY		Complete (if applicable)			
Name (Print/Type)	David J. Muzilla	Registration No. (Attorney/Agent)	P-50,914	Telephone	312-775-8000
Signature	<i>David J. Muzilla</i>	Date	2/26/02		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.